

**NC Department of Insurance
Office of the State Fire Marshal - Engineering Division
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Guidance Paper: Acceptance of Licensed Architect or Licensed Engineer Inspections
(This paper replaces the previous paper written for GS 160A-413.5)

Code: 2018 NC Administrative Code and Policies
Section: GS 160D-11-6 (also 160A-413.5 until 1/1/2021)

Date: 7/24/2020
Revised: 7/16/2021

Question #1:

What inspections can a licensed architect or licensed engineer perform prescriptively based on the statutes?

Definitions:

The statute allows for a city or county to accept, without further inspection, a design or other proposal for a “component” or “element”. The statutes have provided definitions and examples:

1. **Component.** Any assembly, subassembly, or combination of elements designed to be combined with other components to form part of a building or structure. Examples of a component include an excavated footing trench containing no concrete, a foundation, and a prepared underslab with slab-related materials without concrete.
2. **Element.** A combination of products designed to be combined with other elements to form all or part of a building component.

Opinion:

Section 107 of the NC Administrative Code and Policies shows the required inspections that shall be performed by the inspection department. Based upon the principals in the statutes, the following required inspections may be performed by a licensed architect or licensed engineer using the form set out within the statutes approved by the NC Building Code Council.

1. **Footing Inspection.** Footing inspections shall be made after the trenches are excavated, all grade stakes are installed, all reinforcing steel and supports are in place and tied, and all necessary forms are in place and braced before any concrete is placed.
2. **Under-slab inspection.** Under-slab inspections, as appropriate, shall be made after all materials and equipment to be concealed by the concrete slab are completed.
3. **Foundation inspection.** Foundation and crawl space inspections shall be made after all foundation supports are installed. [Commentary: Foundation inspections are conducted to verify correct installation and proper bearing support. Poured concrete and masonry walls that have reinforcement steel should be inspected prior to concrete placement. Crawl space leveling, ground clearances, positive drainage and waterproofing/dampproofing, when required, may be inspected at future inspections prior to concealment].

The statutes further define that components and elements are not systems. An entire building is, therefore, not considered a component or element. A component or element does not encompass

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a system, such as a structural system, plumbing system, HVAC system, electrical system, etc., but only a component or element within a system; therefore, such systems must be inspected by a certified code enforcement official (inspector). The statute centers around the placement of concrete.

Summary:

The footing, under-slab and foundation inspections may be inspected by a certified code enforcement official (inspector) or a licensed architect or licensed engineer. **All** other Section 107 inspections shall be inspected by **an inspector**.

Question #2:

Are any specific requirements of the code allowed to be eliminated if a licensed architect or licensed engineer designs and performs the component or element inspection per § 160D-11-6.

Opinion:

No. The Statute does not eliminate the requirements of the Code or other laws. Building Codes are minimum life safety requirements.

Question #3:

Can a permit holder have a licensed architect or licensed engineer perform the inspection of a revised design for a given component or element at any time?

Opinion:

No. According to the *2018 North Carolina Administration Code and Policies, Section 107.4 Independent inspections authorized by the code enforcement official*, in part states, "...Any change from the permit documents shall be approved by the code enforcement official prior to its implementation."

Question #4:

Based on § 160D-11-6, what must a jurisdiction require to accept and approve a component or element inspection from a licensed architect or licensed engineer?

Opinion:

The statute states that a form approved by the North Carolina Building Code Council shall be used when a licensed architect or licensed engineer performs a component or element inspection. Below is a guide for jurisdictions in need of more clarification:

1. The form, as issued or approved by the NC Building Code Council, should indicate the specific component or element inspected.
2. The design submission is completed under the responsible charge and seal of a licensed architect or licensed engineer who holds a license registration in good standing.
3. The site-specific field inspection of the installation or completion of a construction component or element of the building is performed by a licensed architect or licensed engineer or a person under the direct supervisory or responsible charge and seal of the licensed architect or licensed engineer, as defined in the licensing laws.
4. The component or element must meet the current applicable North Carolina Residential or Building Code. A licensed architect or licensed engineer should describe the

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element/component/type of inspection on the form including the specific applicable code sections for the inspection of the component or element.

5. The submission may include additional information such as observations from the site visit, basis of compliance and an explanation of the compliance method, conclusions, recommendation, and any corrective action that was required.

Important Notes:

1. Each jurisdiction should establish a written procedure to address this matter so that all parties are aware at the time of permitting of the procedure (see “Appendix G” template attached). The template can be downloaded in pdf format at:

<https://www.ncosfm.gov/licensed-architect-or-engineer-system-or-element-inspections-request-form>

2. A licensed architect or licensed engineer shall take responsibility for the inspection of a given component or element. Licensing laws for the respective boards shall be adhered to. Architects and engineers must be licensed in North Carolina.

Question #5:

Does § 160D-11-6 allow a licensed architect or licensed engineer to design and inspect the sum total of a system of components or elements of a building, thus circumventing the local Code Enforcement Official?

Opinion:

No. While it is possible to list every single component or element of a given building, we believe that was not the intent of the Statute. It is our opinion that the intent is to allow a licensed architect or licensed engineer to submit the necessary paperwork in the design and inspection of a specific component or element in order to reduce project delays, thus moving the given project forward in a timely manner.

Question #6:

Does § 160D-11-6 affect in any way non-prescriptive design?

Opinion:

No. Architects and engineers have always designed non-prescriptive (also known as performance-based design), components, elements, systems, etc. (ie: Trusses, steel beams, log homes), and may be required to certify them if required by the jurisdiction. Licensed architects or licensed engineers should provide detailed documentation and conclusions on standard company letterhead. A jurisdiction may ask for detailed documentation upon which the design and conclusions were based (calculations, standard tables, project drawings, existing drawings, field test data, national standards, research data, manufacturer’s test data, evaluation reports, manufacturer’s installation instructions, and code requirements as applicable) to protect life safety and property. The form approved by the NC Building Code Council is **not** to be used for non-prescriptive designs.

An example of a non-prescriptive design would be heavy timber design showing steel plate connections of a log home, a reinforced concrete column or steel beam across an opening.

Question #7:

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Does § 160D-11-6 apply to counties?

Opinion:

Yes. The city and county statutes were recodified S.L. 2019-111.

Question #8:

Can § 160D-11-6 be used to inspect a partial footing, foundation or slab?

Opinion:

Yes. The licensed architect or licensed engineer should provide a separate letter stating what was inspected while also noting on the form “PARTIAL [foundation] INSPECTION ONLY”. An example would be that a licensed engineer inspected the reinforcing on a foundation wall. A separate foundation inspection must be performed by the local inspection department or licensed engineer to verify code compliance for any remaining items (ie: foundation drains, stone, waterproofing, backfill).

Work shall **not** be done beyond the point indicated in each successive inspection without first obtaining the approval of the code enforcement official or the approval and acknowledgement per § 160D-11-6.

Question #9:

Can a permit holder use § 160D-11-6 to have a design professional inspect and approve a component or element (ie: footing or slab because of poor soil conditions) that has been turned down by a code enforcement official?

Opinion:

Yes; however, the licensed architect or licensed engineer should provide a separate letter stating what was inspected and any test or evaluations requested/required by the code enforcement official. An example would be where an inspector found poor soil conditions and asked that soil bearing test and reports be performed and for an engineer to evaluate and re-design appropriately to meet the Code. Licensed Engineers or licensed Architects should use caution when performing a component or element inspection that has been turned down by a code enforcement official. Code enforcement officials should ask for all documentation in such situations to properly document that any issues found by the code enforcement official initially were fixed and meets the Code.

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References:

1. “§ 160D-11-6 – Alternate inspection method for component or element.

(a) Notwithstanding the requirements of this Article, a city shall accept, without further responsibility to inspect, a design or other proposal for a component or element in the construction of buildings from a licensed architect or licensed engineer provided all of the following apply:

- (1) When required by the North Carolina State Building Code, the submission design or other proposal is completed under the valid seal of the licensed architect or licensed engineer.*
- (2) Field inspection of the installation or completion of the component or element of the building is performed by a licensed architect or licensed engineer or a person under the direct supervisory control of the licenses architect or licensed engineer.*
- (3) The licensed architect or licensed engineer provides the city with a signed written document certifying that the component or element of the building so inspected under subdivision (2) of this subsection is in compliance with the North Carolina State Building Code or the North Carolina State Residential Code for One- and Two-Family Dwellings. The certification required under this subdivision shall be provided by electronic or physical delivery, its receipt shall be promptly acknowledged by the city through reciprocal means.*

(b) Upon the acceptance and approval receipt of a signed written document by the city as required under subsection (a) of this section, notwithstanding the issuance of a certificate of occupancy, the city, its inspection department, and the inspectors shall be discharged and released from any liabilities, duties, and responsibilities imposed by this Article with respect to or in common law from any claim arising out of or attributed to the component or element in the construction of the building for which the signed written document was submitted.

(c) With the exception of the requirements contained in subsection (a) of this section, no further certification by a licensed architect or licensed engineer shall be required for any component or element designed and sealed by a licensed architect or licensed engineer for the manufacturer of the component or element under the North Carolina State Building Code or the North Carolina Residential Code for One- and Two-Family Dwellings.

(d) As used in this section, the following definitions shall apply:

- (1) Component. – Any assembly, subassembly, or combination of elements designed to be combined with other components to forms part of a building or structure. Examples of a component include an excavated footing trench containing no concrete, a foundation, and a prepared underslab with slab-related materials without concrete. The term does not include a system.*
- (2) Element. – A combination of products designed to be combined with other elements to form all or part of a building component. The term does not include a system.”*

APPENDIX G DESIGN PROFESSIONAL INSPECTION FORM

RECORD OF THE INSPECTION OF A COMPONENT OR ELEMENT BY A NC LICENSED ARCHITECT OR ENGINEER

Project Information:

Residential Single-Family Project: Y N	Commercial Project: Y N
Code Enforcement Project No:	Permit No:
Project Name:	Owner:
Project Address:	Suite No:
Date Inspected:	Contractor Name:
Component Inspected:	

Responsible Licensed NC Architect or NC Engineer

Name:		
Firm Name:		
Phone Numbers:	Office:	Mobile:
Email Address:		
Mailing Address:		

APPLICABLE CODE:

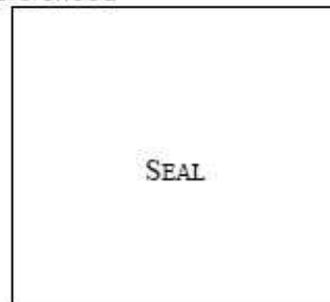
2018 NCBC = 2018 NC Building Code; 2018 NCRC = 2018 NC Residential Code

Describe Element/Component/Type of Inspection: *

*(subgrade form/letter may also be required)

Attestation/Signature:

By signing below, I certify that the component and/or element of the building as identified on this form has been inspected by me or someone under my direct supervision per G.S. 160D-11-6 and is in compliance with the Code or other proposal of the architect or engineer for the project. This inspection is in compliance with all of the requirements of the above referenced code. Attach any additional documents if needed.



Licensed Architect or Engineer

Inspection Department disclaimer:

Upon the receipt of a signed written document as required under subsection (a) of G.S. 160D-11-1, Code Enforcement shall be discharged and released from any liabilities, duties and responsibilities imposed by this article or in common law from any claim arising out of or attributed to the component or element in the construction of the building for which the signed written document was submitted. Be aware that this inspection will be noted in all inspection records including the Certificate of Occupancy or Certificate of Compliance. This inspection does not address any local ordinances or zoning requirements.

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Keywords:

Designer, house, home